



Ethics For The

Financial Services Professional

(Eligible for Core FAA/SFA CPD hours) (online)

Accredited by the Institute of Banking and Finance (IBF). 6 CPD hours will be awarded by the Singapore College of Insurance (SCI) which count towards the Core FAA /SFA CPD hours in respect of Ethics under the MAS Notice No.: FAA-N26.

SCI ONLINE Global Classroom

REGISTER NOW

Net fee funding applies, subject to terms and conditions being met.

Provides a practical framework for making ethical business decisions in the financial services industry. It covers the importance of ethics as a subject, the eight-step model of ethical decision making framework and the three obstacles to ethical decision making. It describes the elements of an ethical organisation and the characteristics of financial services professional. The course also investigates ethical approaches to placing financial products, determining suitability, and assessing risk. The three issues of replacement, rebating and compensation are also discussed.

TARGET AUDIENCE For anyone who is looking at fulfilling their annual core CPD hours on Ethics.



For ChFC®/S candidates, please note that this course does not exempt candidates from the

ChFC09 module, Kindly contact SCI at talk2us@scidomain.org.sq for more details.

training duration

Assessment format

Assessment duration

30 Multiple-Choice

5 hours 15 mins

Questions 45 mins

Pass requirement

80% Pass Mark

Delivery Mode

Online

KEY TOPICS

- Why Ethics Matter
- An Ethical Decision Making Framework Obstacles to Ethical Decision Making
- Building & Maintaining an Ethical Culture
- Professionalism
- Fair Dealing in Financial Products Marketing
- Ethical Sales and Full Disclosure
- Current Ethical Issues

SMART Certificate

A Smart Certificate of Completion will

be issued within 5 working days, once all the course requirements are fulfilled. If you fail to fulfil any of the course requirements, required to register and pay again. Any changes to be made to the Smart Certificate once issued will be chargeable.

Gross Fee (includes 9% GST): S\$109.00 Net Course Fee: S\$59.00

PROGRAMME FEE

(incl. of 9% GST and after 50% STS funding) for Singapore Citizens below 40 years old and Singapore Permanent

requirements within 30 calendar days.

Residents Net Course Fee: S\$39.00

(incl. of 9% GST and after 70% STS funding) for Singapore Citizens aged 40 years old and above

Important Note: For self-sponsored participants, GST funding support has been removed with effect from 3 October 2022. In other words, self-sponsored participants will have to pay the GST component in addition to the course fee for

Course/Assessment-only course that commence from 3 October 2022. For participants who opt for nett fee funding, SCI reserves the right to claw back the full amount from the participant if he/she does not successfully complete the programme within the stipulated deadline. For participants who opt for nett fee funding, participants are required to fulfil the completion

days to fulfil the completion requirements if they do not opt for funding.

*Note: This course is recognised under the Standards Training Scheme (STS) and is eligible for STS claims subject to all

If you do not wish to have funding, please inform SCI. In this regard, participants are given 120 calendar

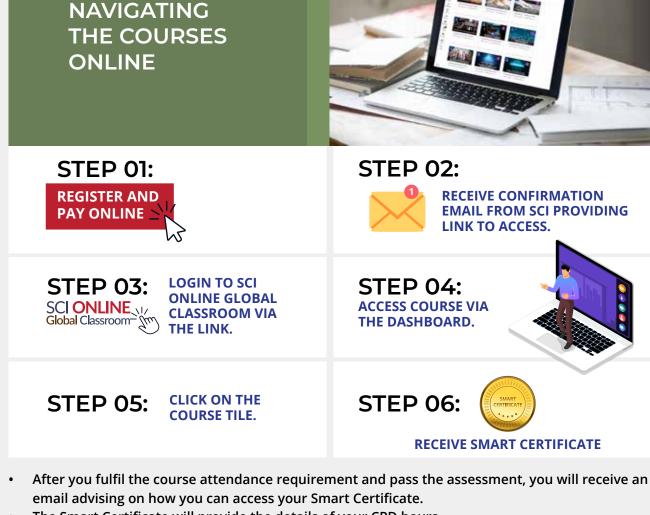
eligibility criteria being met. Please note that in no way does this represent an endorsement of the quality of the training provider and course.

Participants are advised to assess the suitability of the course and its relevance to his/her business activities or job roles. The FTS is available to eligible entities based on the prevalent funding eligibility, quantum and caps. STS provides 50% - 70% course fee subsidy support for direct training costs subject to a cap of S\$3,000 per candidate per course

Find out more on www.ibf.org.sg **COMPLETION REQUIREMENTS** Participants must fully complete all the contents and score at least 80% for the end of the course

assessment within the one-month access period from the time of registration. Candidates are required to re-register for the course and pay the full course fee to access the course again.

subject to all eligibility criteria being met.



- The Smart Certificate will provide the details of your CPD hours. Please provide your full name as in your NRIC/Passport at the time of registration.
- One month access period to complete is given from the date of registration/payment. Any changes to be made to the Smart Certificate once issued will be chargeable.

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TECH SUPPORT HOTLINE: 6221 2336 MON - FRI (9AM TO 5PM EXCLUDE PUBLIC HOLIDAYS)

Singapore College of Insurance

For enquiries, please contact: Singapore College of Insurance Tel: (65) 6221 2336 | Website: www.scicollege.org.sg Information presented on this marketing material is correct at the time of publishing. However, changes to the programme contents, dates, time, duration, venue and faculty members can occur owing to unforeseen circumstances. Every effort will be made to inform all

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CPE Registration No: 199408491M Period Of CPE Registration: 26-09-2023 to 25-09-2027

participants of such changes on a timely basis.