

# Ethics For The Financial Services Professional

(Eligible for Core FAA/SFA CPD hours)  
(online)

Accredited by the Institute of Banking and Finance (IBF). 6 CPD hours will be awarded by the Singapore College of Insurance (SCI) which count towards the Core FAA /SFA CPD hours in respect of Ethics under the MAS Notice No.: FAA-N26.

**SCI ONLINE**  
Global Classroom

**REGISTER NOW**

**Net fee funding applies, subject to terms and conditions being met.**

Provides a practical framework for making ethical business decisions in the financial services industry. It covers the importance of ethics as a subject, the eight-step model of ethical decision making framework and the three obstacles to ethical decision making. It describes the elements of an ethical organisation and the characteristics of financial services professional. The course also investigates ethical approaches to placing financial products, determining suitability, and assessing risk. The three issues of replacement, rebating and compensation are also discussed.

## TARGET AUDIENCE



For anyone who is looking at fulfilling their annual core CPD hours on Ethics.

**Note:**

For ChFC®/S candidates, please note that this course does not exempt candidates from the ChFC09 module. Kindly contact SCI at [talk2us@scidomain.org.sg](mailto:talk2us@scidomain.org.sg) for more details.

*Training duration*

5 hours 15 mins

*Assessment format*

30 Multiple-Choice Questions

*Assessment duration*

45 mins

*Pass requirement*

80% Pass Mark

*Delivery Mode*

Online

## KEY TOPICS

- Why Ethics Matter
- An Ethical Decision Making Framework
- Obstacles to Ethical Decision Making
- Building & Maintaining an Ethical Culture
- Professionalism
- Fair Dealing in Financial Products Marketing
- Ethical Sales and Full Disclosure
- Current Ethical Issues

## SMART Certificate

A Smart Certificate of Completion will be issued within 5 working days, once all the course requirements are fulfilled. If you fail to fulfil any of the course requirements, you are required to register and pay again. Any changes to be made to the Smart Certificate once issued will be chargeable.

## PROGRAMME FEE

Gross Fee (includes 9% GST): S\$109.00

Net Course Fee: S\$59.00

(incl. of 9% GST and after 50% STS funding) for Singapore Citizens below 40 years old and Singapore Permanent Residents

Net Course Fee: S\$39.00

(incl. of 9% GST and after 70% STS funding) for Singapore Citizens aged 40 years old and above

**Important Note :**

- For self-sponsored participants, GST funding support has been removed with effect from 3 October 2022. In other words, self-sponsored participants will have to pay the GST component in addition to the course fee for Course/Assessment-only course that commence from 3 October 2022.
- **For participants who opt for nett fee funding, SCI reserves the right to claw back the full amount from the participant if he/she does not successfully complete the programme within the stipulated deadline.**
- **For participants who opt for nett fee funding, participants are required to fulfil the completion requirements within 30 calendar days.**
- **If you do not wish to have funding, please inform SCI. In this regard, participants are given 120 calendar days to fulfil the completion requirements if they do not opt for funding.**

**\*Note:**

This course is recognised under the Standards Training Scheme (STS) and is eligible for STS claims subject to all eligibility criteria being met.

Please note that in no way does this represent an endorsement of the quality of the training provider and course. Participants are advised to assess the suitability of the course and its relevance to his/her business activities or job roles.

The FTS is available to eligible entities based on the prevalent funding eligibility, quantum and caps. STS provides 50% - 70% course fee subsidy support for direct training costs subject to a cap of S\$3,000 per candidate per course subject to all eligibility criteria being met.

Find out more on [www.ibf.org.sg](http://www.ibf.org.sg)

## COMPLETION REQUIREMENTS

Participants must fully complete all the contents and score at least 80% for the end of the course assessment within the one-month access period from the time of registration.

Candidates are required to re-register for the course and pay the full course fee to access the course again.

### NAVIGATING THE COURSES ONLINE

#### STEP 01:

**REGISTER AND  
PAY ONLINE**



#### STEP 02:



**RECEIVE CONFIRMATION  
EMAIL FROM SCI PROVIDING  
LINK TO ACCESS.**

#### STEP 03:

**SCI ONLINE**

Global Classroom



**LOGIN TO SCI  
ONLINE GLOBAL  
CLASSROOM VIA  
THE LINK.**

#### STEP 04:

**ACCESS COURSE VIA  
THE DASHBOARD.**



#### STEP 05:

**CLICK ON THE  
COURSE TILE.**

#### STEP 06:



**RECEIVE SMART CERTIFICATE**

- After you fulfil the course attendance requirement and pass the assessment, you will receive an email advising on how you can access Smart Certificate.
- The Smart Certificate will provide the details of your CPD hours.
- Please provide your full name as in your NRIC/Passport at the time of registration.
- One month access period to complete is given from the date of registration/payment.
- Any changes to be made to the Smart Certificate once issued will be chargeable.

The contents of all courses are copyrighted to SCI.

**TECH SUPPORT HOTLINE: 6221 2336**

**MON – FRI (9AM TO 5PM EXCLUDE PUBLIC HOLIDAYS)**

**Singapore College of Insurance**  
CPE Registration No: 199408491M  
Period Of CPE Registration: 26-09-2023 to 25-09-2027

For enquiries, please contact: Singapore College of Insurance  
Tel: (65) 6221 2336 | Website: [www.scicollege.org.sg](http://www.scicollege.org.sg)

Information presented on this marketing material is correct at the time of publishing. However, changes to the programme contents, dates, time, duration, venue and faculty members can occur owing to unforeseen circumstances. Every effort will be made to inform all participants of such changes on a timely basis.

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